

**Date: 7 June 2018**

**Item: Actions List, Matters Arising and Chair's Action**

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**This paper will be considered in public.**

## **1 Summary**

- 1.1 This paper informs the Committee of progress against actions agreed at previous meetings.
- 1.2 On 6 March 2018, the Audit and Assurance Committee endorsed the use of Chair's Action, in consultation with the Members of the Committee, to review and comment on the 2017/18 TfL Scorecard outturn review to be undertaken by Internal Audit. The use of Chair's Action was to enable commentary to be provided to the meeting of the Remuneration Committee on 13 June 2018. The Committee received the review report on 15 May 2018 and the Chair exercised her authority on 22 May 2018, with comments being included in the report to the Remuneration Committee.

## **2 Recommendation**

- 2.1 **The Committee is asked to note the Actions List and the Chair's Action.**

### **List of appendices to this report:**

Appendix 1: Actions List

### **List of Background Papers:**

Minutes of previous meetings of the Committee

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## Audit and Assurance Committee Actions List (reported to 7 June 2018 meeting)

### Actions from last meeting

Minute No.	Item/Description	Action By	Target Date	Status/note
04/03/18 63/12/17	<b>Matters Arising and Actions List</b> A formal update, including benchmarking data, to be submitted to the next meeting.	EY	7 June 2018 meeting.	Update provided in report on agenda (EY Report to Those Charged with Governance). Completed.
05/03/18	<b>Internal Audit Quarter 3 Report 2017/18</b> Future reports to include a breakdown of poorly controlled reports by directorate, if there are sufficient reports to provide meaningful data.	Dili Origbo	7 June 2018 meeting.	Will be incorporated into the Q4 report where data is available.
06/03/18	<b>Integrated Assurance Plan 2018/19</b> The planned audit of safeguarding be broadened to include staff protection and sexual harassment in the workplace.  That the future Plan include information on the model of assurance being used, once the Strategic Risks and controls in place had been mapped.	Dili Origbo/ Howard Carter  Dili Origbo/ Clive Walker	-  March 2019.	The scope has been widened accordingly. Completed.  Scheduled. On Forward Plan.
08/03/18	<b>Strategic Risk Management Update</b> An update on the workshop to be provided to the other Board Members, including those who were unable to attend.  A progress update would be submitted to the next meeting.	Nico Lategan  Nico Lategan	Following the meeting.  7 June 2018 meeting.	Information circulated. Completed.  Report on agenda. Completed.

## Actions from previous meetings

Minute No.	Item/Description	Action By	Target Date	Status/note
31/07/17	<b>External Quality Assessment of Internal Audit</b> Involvement of the Committee in evaluating and monitoring future performance of internal audit would be discussed once Transformation was completed.	Howard Carter/ Clive Walker	13 September 2018 meeting.	Scheduled. On Forward Plan.