

## Audit and Assurance Committee



**Date:** 14 June 2016

**Item:** Actions List

---

### **This paper will be considered in public**

#### **1 Summary**

1.1 This paper informs the Committee of progress against actions agreed at previous meetings.

#### **2 Recommendation**

2.1 **The Committee is asked to note the Actions List.**

#### **List of appendices to this report:**

Appendix 1: Actions List

#### **List of Background Papers:**

Minutes of previous meetings of the Committee

Contact Officer: Howard Carter, General Counsel  
Number: 020 3054 7832  
Email: [HowardCarter@tfl.gov.uk](mailto:HowardCarter@tfl.gov.uk)

## Audit and Assurance Committee Actions List (reported to 14 June 2016 meeting)

### Actions from last meeting

Minute No.	Item/Description	Action By	Target Date	Status/note
06/03/16	<b>Internal Audit Quarter 3 Report 2015/16</b> Ian Nunn would submit a report to the next meeting on the outcome of a business and finance review in relation to work currently being carried out on staffing matters.	Ian Nunn	14 June 2016	Covered under Q4 reports. Completed.
06/03/16	<b>Internal Audit Quarter 3 Report 2015/16</b> EY to review the audit process of the Garden Bridge and report back to the next meeting.	EY	-	In progress.
07/03/16	<b>Crossrail Audit Committee</b> Ian Nunn to present reports on Crossrail's operational and financial matters at future meetings.	Ian Nunn	14 June 2016 meeting.	On agenda. Completed.
09/03/16	<b>Strategic Risk Management - Update on New Approach and Reporting Documentation</b> An annual report on resilience, disaster planning and business continuity planning to be submitted in future.	Ian Nunn	June 2017 meeting.	On Forward Plan.
11/02/16	<b>Review of TfL's Independent Reporting Lines for Year Ended 31 December 2015</b> Howard Carter to clarify whether CIRAS membership also now included London Buses.	Howard Carter	14 June 2016 meeting.	CIRAS reports included London Buses from January 2016. Completed.

<b>Minute No.</b>	<b>Item/Description</b>	<b>Action By</b>	<b>Target Date</b>	<b>Status/note</b>
12/03/16	<b>Cyber Security Update</b> A list of scenario events used for exercise days be included as an appendix in future reports.	Steve Townsend	14 June 2016 meeting.	Included in report on agenda. Completed.

### **Actions from previous meetings**

<b>Minute No.</b>	<b>Item/Description</b>	<b>Action By</b>	<b>Target Date</b>	<b>Status/note</b>
72/12/15	<b>Legal Compliance Report (1 April-30 September 2015)</b> That future reports include post code references when referring to street names.	Howard Carter	14 June 2016 meeting.	Included in report on agenda. Completed.
10/03/15	<b>National Fraud Initiative 2014 Update</b> Internal Audit to review, as part of its 2015/16 audit plan, whether non London based taxi and private hire drivers were improperly avoiding paying the congestion charge.	Clive Walker	14 June 2016 meeting.	On Forward Plan.