

Agenda

Meeting: Audit and Assurance Committee

Date: Wednesday 17 December 2014

Time: 10.00am

**Place: Conference Rooms 1 and 2,
Palestra, London, SE1 8NJ**

Members of the Committee

Keith Williams, Chair
Steve Wright, Vice Chair
Richard Barnes

Charles Belcher
Brian Cooke
Baroness Grey-Thompson DBE

Further Information

The papers and any attachments are available on
<http://www.tfl.gov.uk/corporate/publications-and-reports/audit-and-assurance-committee>

If you have questions, would like further information about the meeting or require special facilities please contact: Sue Riley, Secretariat Officer; telephone: 020 7983 4392; email: SueRiley@tfl.gov.uk.

For media enquiries please contact the TfL Press Office; telephone: 0845 604 4141; email: PressOffice@tfl.gov.uk.

This meeting will be open to the public, except for where exempt information is being discussed as noted on the agenda. There is access for disabled people and induction loops are available. A guide for the press and public on attending and reporting meetings of local government bodies, including the use of film, photography, social media and other means is available on www.london.gov.uk/sites/default/files/Openness-in-Meetings.pdf.

Howard Carter, General Counsel
9 December 2014

**Audit and Assurance Committee
Wednesday 17 December 2014**

Agenda Part 1

1. Apologies for Absence and Chair's Announcements

2. Declarations of Interests

General Counsel

Members are reminded that any interests in a matter under discussion must be declared at the start of the meeting, or at the commencement of the item of business.

Members must not take part in any discussion or decision on such a matter and, depending on the nature of the interest, may be asked to leave the room during the discussion.

3. Minutes of the Meeting of the Audit and Assurance Committee held on 8 October 2014

General Counsel

The Committee is asked to approve the minutes of the previous meeting of the Audit Committee and authorise the Chair to sign them.

4. Matters Arising and Actions List

General Counsel

The Committee is asked to note the updated actions list.

Internal Audit Matters

5. Management Actions Report

Director of Internal Audit

The Committee is asked to note the report.

6. Internal Audit Quarter 2 Report 2014/15

Director of Internal Audit

The Committee is asked to note the report.

7. Crossrail Audit Committee

Director of Internal Audit

The Committee is asked to note the paper.

Accounting and Governance

8. Strategic Risk Management Update – Quarter 2

Interim Chief Finance Officer

The Committee is asked to note the update.

9. Half Yearly Fraud Report 2014/15

Director of Internal Audit

The Committee is asked to note the report.

10. Legal Compliance Report (1 April 2014 – 30 September 2014)

General Counsel

The Committee is asked to note the report.

11. Personal Data Disclosure to the Police and Other Law Enforcement Agencies

Directorate of Enforcement and On-Street Operations

The Committee is asked to note the paper.

12. Contactless – Security Controls in Place to Protect Payment Card Data

Director of Customer Experience

The Committee is asked to note the paper.

13. External Auditor Appointment

Interim Chief Finance Officer

The Committee is asked to note the paper.

14. Any Other Business the Chair Considers Urgent

The Chair will state the reason for urgency of any item taken.

15. Date of Next Meeting

Wednesday 4 March 2015 at 10.00am

16. Exclusion of Press and Public

The Committee is recommended to agree to exclude the press and public from the meeting, in accordance with paragraphs 5 and 7 of Schedule 12A to the Local Government Act 1972 (as amended), in order to consider the following items of business.

Agenda Part 2

Papers containing supplemental confidential or exempt information not included in the related item on Part 1 of the agenda.

17. Strategic Risk Management Quarter 2

Interim Chief Finance Officer

Exempt supplemental information relating to the item on Part 1.

18. Half Yearly Fraud Report 2014/15

Director of Internal Audit

Exempt supplemental information relating to the item on Part 1.

19. Contactless – Security Controls in Place to Protect Payment Card Data

Director of Customer Experience

Exempt supplemental information relating to the item on Part 1.

Members are scheduled to hold the annual private meeting with the Director of Internal Audit following the meeting.