

Agenda

Meeting: Audit and Assurance Committee

Date: Tuesday 6 March 2018

Time: 10.00am

**Place: Conference Rooms 1&2,
Palestra, 197 Blackfriars Road,
London, SE1 8NJ**

Members

Anne McMeel (Chair)
Dr Lynn Sloman (Vice-Chair)
Kay Carberry CBE

Dr Mee Ling Ng OBE
Dr Nelson Ogunshakin OBE

Copies of the papers and any attachments are available on tfl.gov.uk [How We Are Governed](#).

This meeting will be open to the public, except for where exempt information is being discussed as noted on the agenda. There is access for disabled people and induction loops are available. A guide for the press and public on attending and reporting meetings of local government bodies, including the use of film, photography, social media and other means is available on www.london.gov.uk/sites/default/files/Openness-in-Meetings.pdf.

Further Information

If you have questions, would like further information about the meeting or require special facilities please contact: Sue Riley, Secretariat Officer; telephone: 020 7983 4392; email: SueRiley@tfl.gov.uk.

For media enquiries please contact the TfL Press Office; telephone: 0845 604 4141; email: PressOffice@tfl.gov.uk

Howard Carter, General Counsel
Monday 26 February 2018

**Agenda
Audit and Assurance Committee
Tuesday 6 March 2018**

1 Apologies for Absence and Chair's Announcements

2 Declarations of Interests

General Counsel

Members are reminded that any interests in a matter under discussion must be declared at the start of the meeting, or at the commencement of the item of business.

Members must not take part in any discussion or decision on such a matter and, depending on the nature of the interest, may be asked to leave the room during the discussion.

3 Minutes of the Meeting of the Audit and Assurance Committee held on 14 December 2017

General Counsel

The Committee is asked to approve the minutes of the meeting held on 14 December 2017 and authorise the Chair to sign them.

4 Matters Arising and Actions List

General Counsel

The Committee is asked to note the updated actions list.

Audit, Risk and Assurance

5 Internal Audit Quarter 3 Report 2017/18

Director of Risk and Assurance

The Committee is asked to note the report and endorse the use of the proposed control environment trend indicators for reporting to future Committee meetings.

6 Integrated Assurance Plan 2018/19 (Pages 35 - 50)

Director of Risk and Assurance

The Committee is asked to approve the Plan.

7 Internal Audit Charter

Director of Risk and Assurance

The Committee is asked to approve the updated Internal Audit Charter.

8 Strategic Risk Management Update

Director of Risk and Assurance

The Committee is asked to note the update.

Accounting and Governance

9 Crossrail Transition Update

Director of Operations – Crossrail

The Committee is asked to note the paper and the supplemental information on Part 2 of the agenda.

10 Personal Data Disclosure to the Police and Other Statutory Law Enforcement Agencies (2017)

Director of Compliance, Policing and On-Street Operations

The Committee is asked to note the paper.

11 Progress Report on the Implementation of the General Data Protection Regulation

General Counsel

The Committee is asked to note the report.

12 Independent Investment Programme Advisory Group (IIPAG) - Review Implementation

General Counsel

The Committee is asked to note the update on the implementation of the IIPAG review recommendations.

13 Review of TfL's Independent Reporting Lines for the Year Ended 31 December 2017

General Counsel

The Committee is asked to note the paper.

14 Register of Gifts and Hospitality for Members and Senior Staff

General Counsel

The Committee is asked to note the paper.

15 TfL Scorecard 2017/18 Outturn Audit

General Counsel

The Committee is asked to note the paper and endorse the use of Chair's Action, in consultation with the members of the Committee, to review the assurance in May 2018 and provide any commentary to the meeting of the Remuneration Committee in June 2018.

16 Transformation Programme

Transformation Director

The Committee is asked to note the update

17 Member suggestions for future agenda discussions

The Committee is asked to note the forward programme and is invited to raise any suggestions for future discussion items for the forward programme and for informal briefings.

18 Any Other Business the Chair Considers Urgent

The Chair will state the reason for urgency of any item taken.

19 Date of Next Meeting

Thursday 7 June 2018 at 10.00am.

20 Exclusion of Press and Public

The Committee is recommended to agree to exclude the press and public from the meeting, in accordance with paragraph 3 of Schedule 12A to the Local Government Act 1972 (as amended), in order to consider the following items of business.

Agenda Part 2

Papers containing supplemental confidential or exempt information not included in the related item on Part 1 of the agenda.

21 Crossrail Transition Update

Director of Operations – Crossrail

Exempt supplemental information to the item on Part 1 of the agenda.